

Docket No.: 3997P007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HAN C. WEN, ET AL.

Application No.: 09/846,452

Filed: April 30, 2001

For: **Method for Accelerating TCP Flows in  
Communication Networks with Dynamic  
Control**

Art Group: 2663

Examiner: Douong, Duc T.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

05/17/2006 FFANAEIA 00000018 09846452

03 FC:1806

180.00 0P

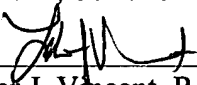
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 12, 2006

  
\_\_\_\_\_  
Lester J. Vincent, Reg. No. 31,460

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (408) 720-8300

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Sandra D. Espinoza

05-12-06

Date

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(use as many sheets as necessary)**

<b>Sheet</b>	<b>1</b>	<b>of</b>	<b>3</b>
--------------	----------	-----------	----------

**Complete if Known**

Application Number	09/846,452
Filing Date	April 30, 2001
First Named Inventor	Han C. Wen
Art Unit	2663
Examiner Name	Douong, Duc T.
Attorney Docket Number	3997P007

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US-6,674,717 B1	01-06-2004	Duong-Van et. al.	
		US-5,426,640	06-20-1995	Hiuchyj et.al.	
		US-6,014,694	01-11-2000	Aharoni et. al.	
		US-6,075,770	06-13-2000	Chang et. al.	
		US-6,614,763 B1	09-02-2003	Kikuchi et. al.	
		US-2002/0118661 A1	08-29-2002	Voce	
		US-6,766,309 B1	07-20-2004	Cheng et. al.	
		US-2003/0193893 A1	10-16-2003	Wen et. al.	
		US-6,684,247 B1	01-27-2004	Santos et. al.	
		US-2002/0118649	08-29-2002	Farley, Keven L., et. al.	
		US-6,249,530	06-19-2001	Blanco, Marcos A., et. al.	
		US-6,560,231	05-06-2003	Kawakami, Hiroshi, et. al.	
		US-6,600,721	07-29-2003	Edholm, Phil	
		US-6,584,111	06-24-2003	Aweya et. al.	
		US-2001/0032269 A1	10-18-2001	Wilson, Andrew W.	
		US-2002/0159386	10-31-2002	Grosdidier et. al.	
		US-2001/0015956	08-23-2001	Ono, Yoshihiro	
		US-6,757,255	06-29-2004	Aoki et. al.	
		US-5,439,483	08-08-1995	Duong-Van	
		US-6,947,381 B2	09-20-2005	Wen et. al.	

## FOREIGN PATENT DOCUMENTS

[illegible]Examiner  
Signature

Date	Considered
------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

[illegible]

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450